

1. Btagging in CMS

- [Author\(s\)](#)
- [How to Obtain Support](#)
- [General Information](#)
- [Software and Tools](#)
- [Needed datasets](#)
- [Short Description of the Use Case](#)
- [How to execute it](#)
 - [Way #1: Use Google Colab](#)
 - [Way #2: Use Python from a ML-INFN Virtual machine](#)
 - [Way #3: Use Jupyter notebooks from a ML-INFN Virtual machine](#)
- [Annotated Description](#)
 - [What is \(jet\) b-tagging?](#)
 - [Typical b-tagging algorithms @ CMS](#)
 - [A new b-tagging algorithm](#)
 - [The tutorial](#)
 - [Notebook #1: plot_NNinput.ipynb](#)
 - [Ntuple content kinematics](#)
 - [# of particles / vertices](#)
 - [b tagging discriminating variables](#)
 - [other kinematics](#)
 - [19. detailed truth](#)
 - [truth \(6 categories\)](#)
 - [alternative definition](#)
 - [Plotting a ROC](#)
 - [Notebook #2: plot_seedingTrackFeatures.ipynb](#)
 - [Notebook #3: keras_DNN.ipynb](#)
 - [Notebook #4: CNN1x1_btag.ipynb](#)
 - [Notebook #5: lstm_btag.ipynb](#)
- [References](#)
 - [Attachments](#)

Author(s)

Name	Institution	Mail Address	Social Contacts
Leonardo Giannini	INFN Sezione di Pisa / UCSD	leonardo.giannini@cern.ch	
Tommaso Boccali	INFN Sezione di Pisa	tommaso.boccali@pi.infn.it	Skype: tomboc73; Hangouts: tommaso.boccali@gmail.com

How to Obtain Support

Mail	tommaso.boccali@pi.infn.it , leonardo.giannini@cern.ch
Social	Skype: tomboc73
Jira	

General Information

ML/DL Technologies	LSTM, CNN
Science Fields	High Energy Physics
Difficulty	Low
Language	English
Type	fully annotated and runnable



Software and Tools

Programming Language	Python
ML Toolset	Keras + Tensorflow
Additional libraries	uproot
Suggested Environments	INFN-Cloud VM, bare Linux Node, Google CoLab

Needed datasets

Data Creator	CMS Experiment
Data Type	Simulation
Data Size	1 GB
Data Source	INFN Pandora

Short Description of the Use Case

Jets originating from b quarks have peculiar characteristics that one can exploit to discriminate them from jets originating from light quarks and gluons, and to better reconstruct their momentum. Both tasks have been dealt with using ML and are now tackled with Deep Learning techniques.

Two original Deep Learning applications, both involving b quark jets, are described in this chapter.

The first application described is the momentum regression, the second one is b -tagging algorithm which aims at processing lower level data, and lets a DNN learn the secondary vertex information.

A combination of this tagger, called "DeepVertex", with another state-of-the-art tagger, called "DeepJet", which aims at a single particle and secondary vertex description of the jet, is also presented.

Both the regression and the DeepVertex tagger improve on the previously developed benchmark algorithms applied in Physics Analysis by the CMS collaboration.

Furthermore, the combination of "DeepVertex" and "DeepJet" reaches unprecedented performance in simulation.

The DNN regression was developed together with ETH collaborators working on the search for Higgs pairs, but was deployed in data specifically for the VH ($b\bar{b}$) analysis. The DeepJet algorithm was developed in parallel to DeepVertex by other groups, while DeepVertex and the combinations are presented in the thesis for the first time.

This hands on is largely inherited from an Hands-on by [Leonardo Giannini](#) (CMS / UCSD / formerly INFN Pisa) for the [Scientific Data Analysis School](#) at Scuola Normale, November 2019.

A complete explanation of the tutorial is available for download [here](#).

How to execute it

Way #1: Use Google Colab

Google's Colaboratory (<https://colab.research.google.com/>) is a tool offered by Google which offers a Jupyter like environment on a Google hosted machine, with some added features, like the possibility to attach a GPU or a TPU if needed.

You can access directly Colab by clicking on a .ipynb (Python Notebook) file. The notebooks for this tutorial can be found [here](#). The .ipynb files are also available in the next attachment.

[drive-download-20201203T121930Z-001.zip](#)

The input files are on Google Drive, and are automatically loaded by the notebooks. In case, they are also available [here](#) and [here](#).

In the following the most important excerpts are described.

Way #2: Use Python from a ML-INFN Virtual machine

Another option is to use not Colab, but a real VM as made available by ML-INFN (LINK MISSING), or any properly configured host.

In that case, you can run the python code from the following link. You will also need to provide the input files [here](#) and [here](#).

[btagging_cms.tar.gz](#)

The tar file, to be unpacked via

```
tar zxvf btagging_cms.tar.gz
```

contains 5 python scripts with the same name as the notebooks. It can be downloaded on the virtual machine via

```
wget https://confluence.infn.it/download/attachments/48038048/btagging_cms.tar.gz
```

The 2 input files can be downloaded as

```
davix-get https://pandora.infn.it/public/307caa/dl/test93_0_20000.npz test93_0_20000.npz
davix-get https://pandora.infn.it/public/ec5e6a/dl/test93_20000_40000.npz test93_20000_40000.npz
```

Way #3: Use Jupyter notebooks from a ML-INFN Virtual machine

This way is somehow intermediate between #1 and #2. It still uses a browser environment and a Jupyter notebook, but the processing engine is on a ML-INFN instead of Google's public cloud.

You can get access to a Jupyter environment from XXX (same machine as #2), but instead from logging on that via ssh, you connect to hestname:8888 and provide the password you selected at creation time. At that point, you can upload the .ipynb notebooks from [drive-download-20200317T155726Z-001.zip](#).

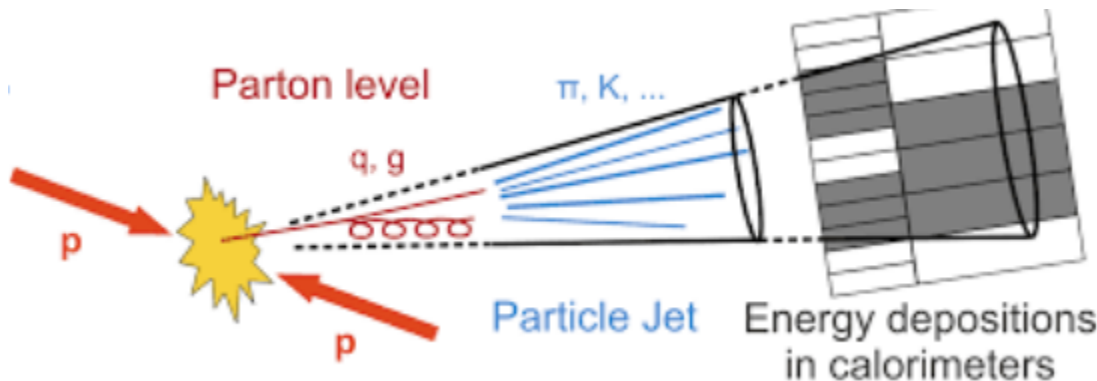
Annotated Description

What is (jet) b-tagging?

It is the identification (or "tagging") of jets originating from bottom quark.

- So what is a jet?

- A collection of collimated particles originating from the hadronization of a quark or a gluon
- Clustering particles and detector signal in jets is the way we reconstruct the originating partons



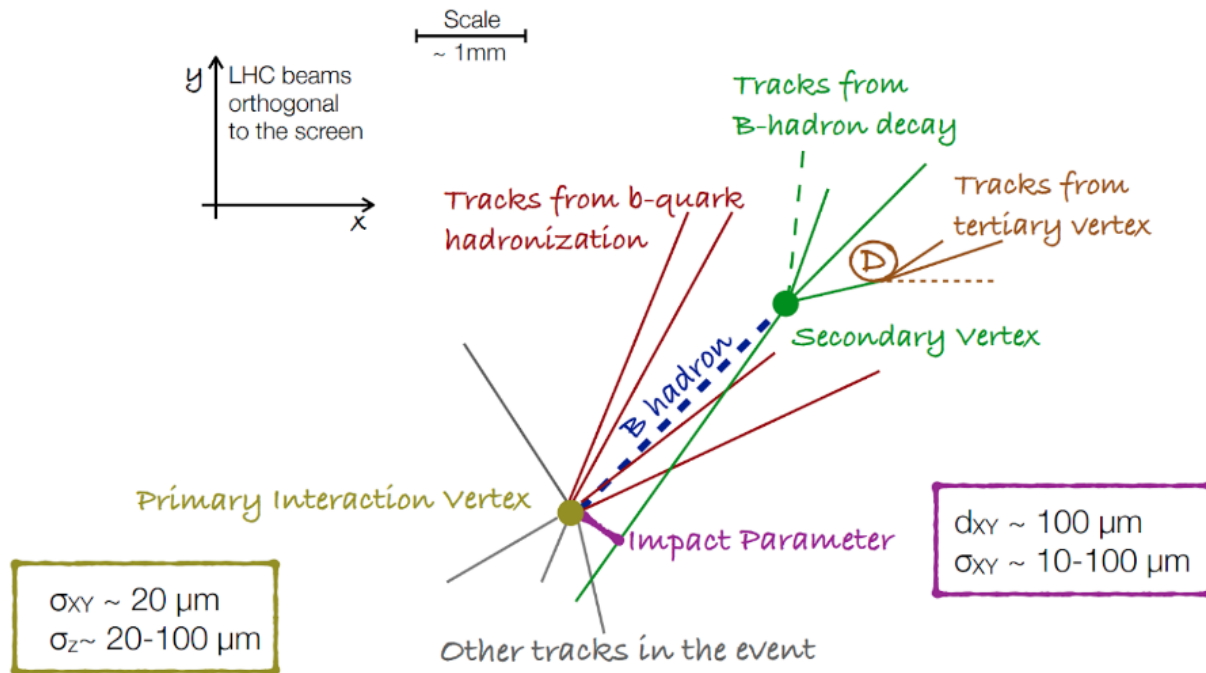
- Why b-jet tagging?

- Jets production is one of the most common processes at the LHC and a background for many analyses

- b-jets production is suppressed compared to light quark/gluon jets
- Final states with b-jets are interesting for many analyses:
 - Top quark
 - $H \rightarrow b\bar{b}$
 - $HH (b\bar{b} + XX)$
 - etc.

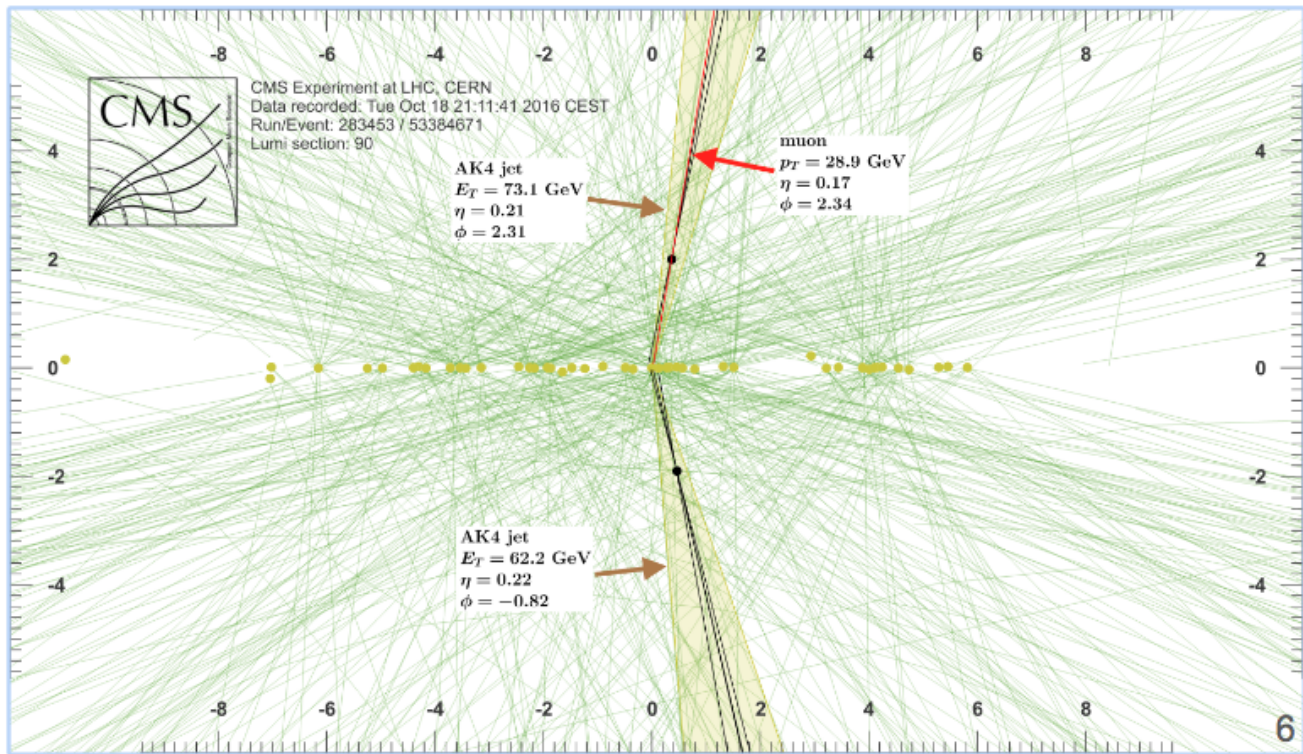
The so-called b-jets (coming from the hadronization of b quarks) have characteristics like:

- sizeable lifetime ($c \sim 500$ m) decay length of a few mm when boosted
 - Significant Impact Parameter (IP)
 - Secondary vertex
- Large mass (5 GeV)
- High rate of semileptonic decays (25%)
- High momentum transfer to the B hadron



b-tagging relies mostly on the reconstruction of the B hadrons decay products:

- Efficient and robust tracking needed
- Displaced tracks
 - with good IP resolution
- Secondary vertex reconstruction



Typical b-tagging algorithms @ CMS

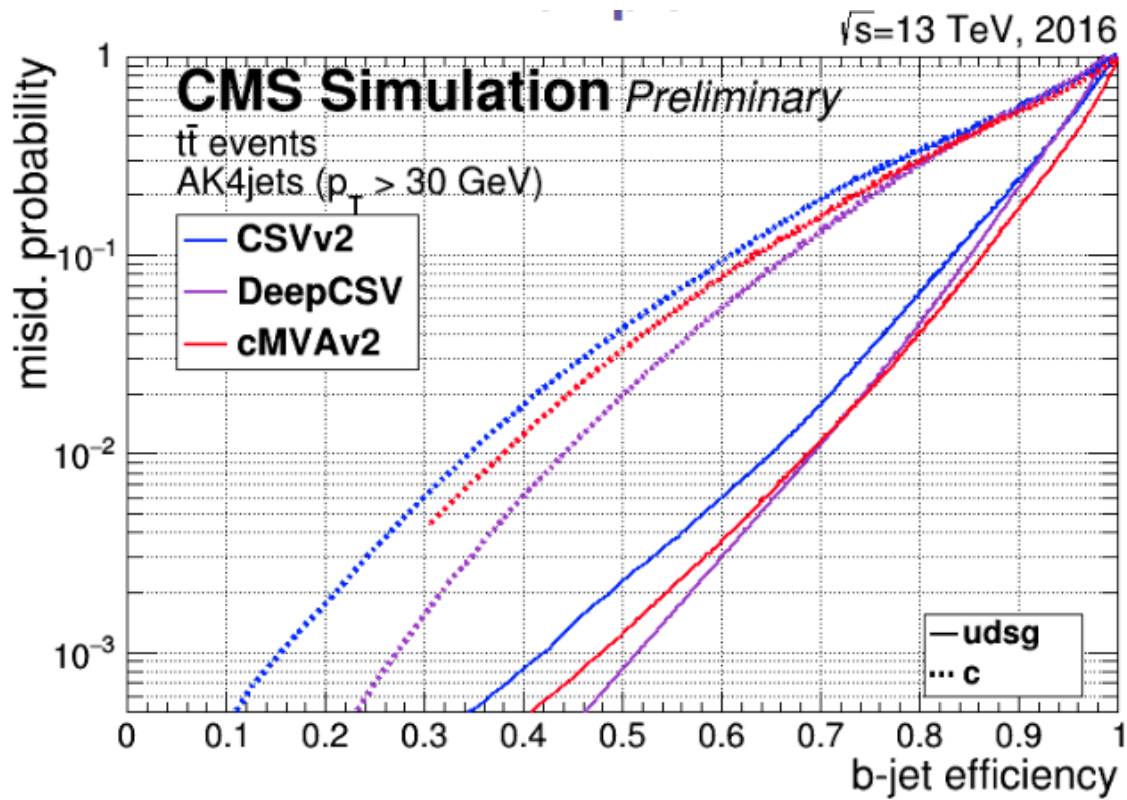
They

- **Can use single discriminating variables**
 - Tracks IP, Secondary vertices
- **Can combine several discriminating variables with ML**
 - ML is used to combine the information in an optimal way better performance
 - ML techniques are also more robust under different conditions (pileup, tracker detector, tracking etc.)
- **With Deep Learning we can also bypass some of the choices we make before optimization**
 - using lower level inputs
 - It can be more flexible and ultimately better performing

Algorithmic performance is usually described in the form of ROC (Receiver Operating Characteristics) which has on one axis (x) the efficiency in correctly tagging the signal (b-jets), and on the (y) axis the fraction of non signal jets incorrectly flagged as signal. In the b-tagging studies, unless specified otherwise, "non signal jets" are defined as those coming from the hadronization of light quarks (uds) and gluons.

Jets from c quarks, which have intermediate values of lifetime with respect to b quarks, are usually treated in a separate way.

In this illustration form, an algorithm is better if the ROC curve is closer to the lower right corner of the picture

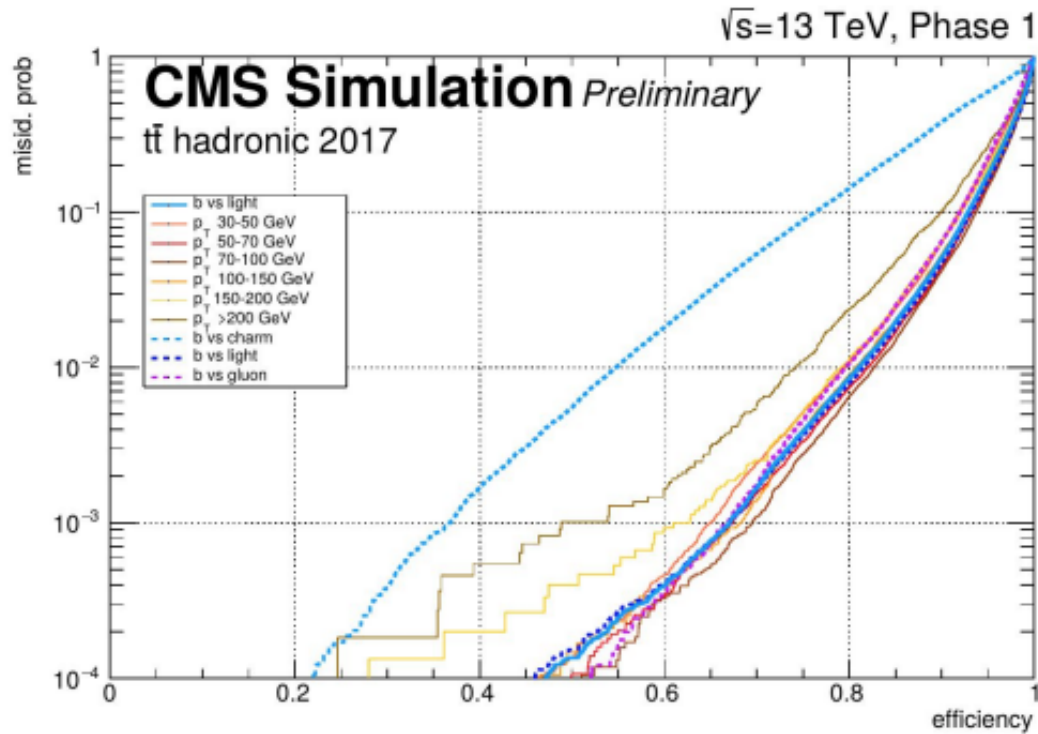


The current best performing algorithm in CMS is DeepCSV, which uses in input variables like:

- 3 categories: vertex - no vertex - pseudovortex depending on the reconstruction of a secondary decay vertex;
- ~ 20 "tagging variables", among which the parameters of a track subset, the presence of secondary vertices and leptons.

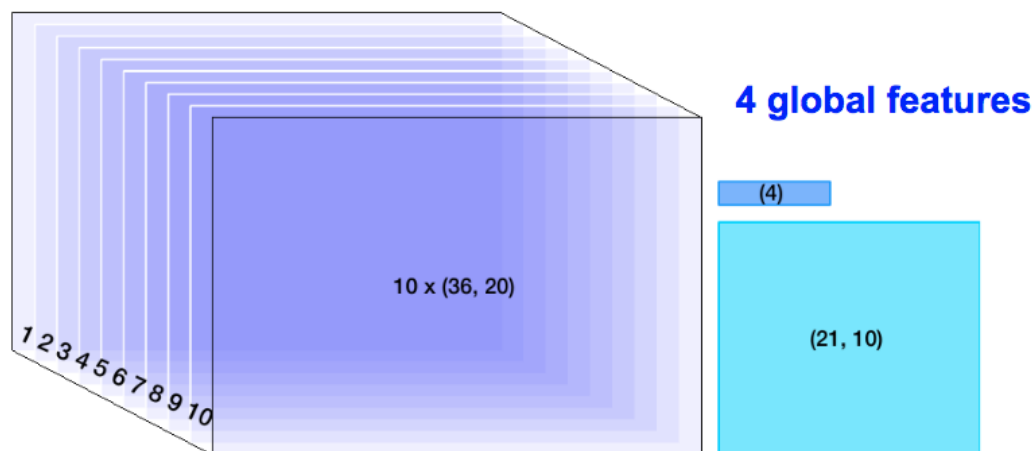
A new b-tagging algorithm

The rest of the tutorial focuses on the development of a "toy but realistic DNN algorithm", which includes vertexing, instead of having vertexing results as pre-computed input. Its inputs is, thus, mostly tracks. The real system, used by CMS, has better performance than the DeepCSV, with a (preliminary) ROC



In its architecture, 7414 inputs are used, mostly coming from clusters of neighbouring tracks around a 'seed' displaced track. 10 such displaced tracks are considered at most, and 20 neighbouring tracks are in input for each of these. Each of the tracks is described via 36 parameters. On top on that, a few global quantities are added. The parameter count is explicit in the next figure

DeepVertex inputs -> 7414 in total



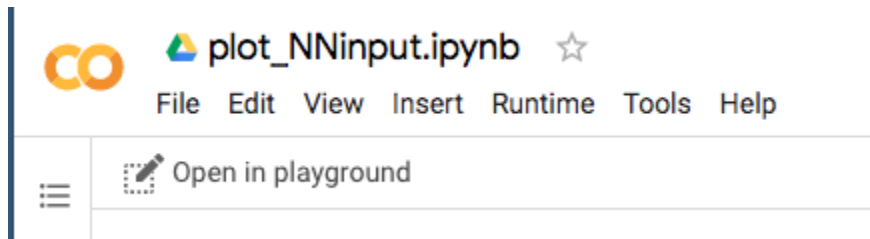
**36 x 20 x 10 - clusters of
20 neighbour tracks
around a displaced track**

**21 x 10 - up to 10
displaced tracks**

Long-Short term memories (LSTM) are used in order to reduce the parameter count, since there is no reason to believe that any of the 20 tracks per "seed" should be treated differently. After the application of the LSTM, convolutional filters and dense layers are used to are used scale to 4 output classes ("b", "c", "uds", "gluon").

The tutorial

The tutorial includes [5 Jupyter Notebooks](#), which can be run under Google's Colab. In order to be able to run and modify files, please use the link "Open in playground" as in the picture below, or generate a private copy of the notebook from the File menu.



Notebook #1: [plot_NNinput.ipynb](#)

This notebook loads a file containing precomputed features from MC events (from CMS), including reconstructed features and Monte Carlo Truth.

It contains 6 sets of variables, the first set "arr_0" being explicitly

```
f["arr_0"]
```

```
shape (20000, 52)
```

- data relative to 20000 jets
- 52 variables per jet

Ntuple content kinematics

```
0. "jet_pt"  
1. "jet_eta"
```

of particles / vertices

```
2. "nCpfcand"  
3. "nNpfcand",  
4. "nsv",  
5. "npv",  
6. "n_seeds",
```

b tagging discriminataing variables

```
7. "TagVarCSV_trackSumJetEtRatio"  
8. "TagVarCSV_trackSumJetDeltaR",  
9. "TagVarCSV_vertexCategory",  
10. "TagVarCSV_trackSip2dValAboveCharm",  
11. "TagVarCSV_trackSip2dSigAboveCharm",  
12. "TagVarCSV_trackSip3dValAboveCharm",  
13. "TagVarCSV_trackSip3dSigAboveCharm",  
14. "TagVarCSV_jetNSelectedTracks",  
15. "TagVarCSV_jetNTracksEtaRel",
```

other kinematics

```
16. "jet_corr_pt",  
17. "jet_phi",  
18. "jet_mass",
```

19. detailed truth

```
19. "isB",
```



```

20. "isGBB",
21. "isBB",
22. "isLeptonicB",
23. "isLeptonicB_C",
24. "isC",
25. "isGCC",
26. "isCC",
27. "isUD",
28. "isS",
29. "isG",
30. "isUndefined",
31. "isPhysB",
32. "isPhysGBB",
33. "isPhysBB",
34. "isPhysLeptonicB",
35. "isPhysLeptonicB_C",
36. "isPhysC",
37. "isPhysGCC",
38. "isPhysCC",
39. "isPhysUD",
40. "isPhysS",
41. "isPhysG",
42. "isPhysUndefined"

```

truth (6 categories)

```

43. "isB*1",
44. "isBB+isGBB",
45. "isLeptonicB+isLeptonicB_C",
46. "isC+isGCC+isCC",
47. "isUD+isS",
48. "isG*1",
49. "isUndefined*1",

```

truth as integer (used in the notebook)

```

50. "5x(isB+isBB+isGBB+isLeptonicB+isLeptonicB_C)+4x(isC+isGCC+isCC)+1x(isUD+isS)+21xisG+0xisUndefined",

```

alternative definition

```

51. "5x(isPhysB+isPhysBB+isPhysGBB+isPhysLeptonicB+isPhysLeptonicB_C)+4x(isPhysC+isPhysGCC+isPhysCC)+1x
(isPhysUD+isPhysS)+21xisPhysG+0xisPhysUndefined"

```

In particular, `arr_0[:-2]` (the "truth as integer" above) can be used as the "Monte Carlo" category.

You are shown how to make plots of the quantities per signal and background via the definition of the function

`plotter_wbins`, which uses the category definition

```

isB=jetvars[:, -2]==5
isC=jetvars[:, -2]==4
isL=jetvars[:, -2]==1
isG=jetvars[:, -2]==21

categories=[isB, isC, isL, isG]

```

Plotting a ROC

A ROC curve can be constructed, using a scan on a specific input (use *TagVarCSV_trackSip2dSigAboveCharm* here), with the code

```
from sklearn.metrics import roc_curve, auc

# b vs light and gluon roc curve
# B vs other categories -> we use isB

isBvsC = isB[isB+isC]
isBvsUSDG = isB[isB+isL+isG]

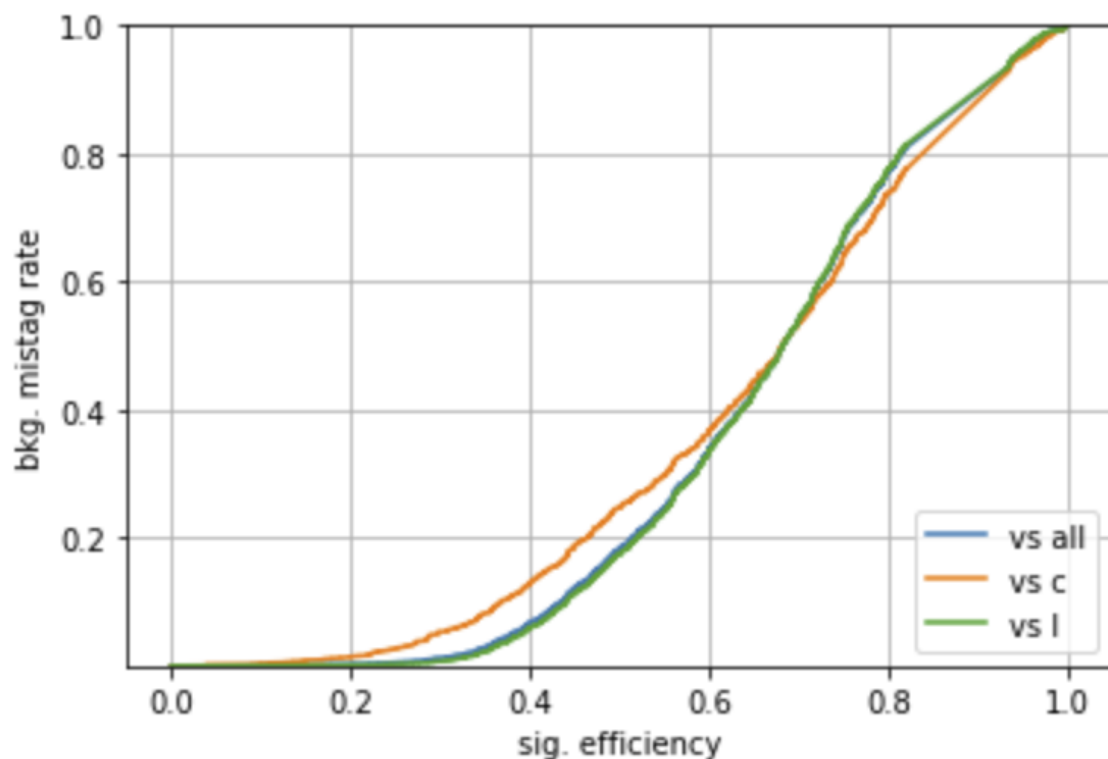
# B vs ALL roc curve
fpr, tpr, threshold = roc_curve(isB, jetvars[:,11])
auc1 = auc(fpr, tpr)

# B vs c
fpr2, tpr2, threshold = roc_curve(isBvsC, jetvars[:,11][isB+isC])
auc2 = auc(fpr2, tpr2)

# B vs light/gluon
fpr3, tpr3, threshold = roc_curve(isBvsUSDG, jetvars[:,11][isB+isL+isG])
auc3 = auc(fpr3, tpr3)

# print AUC
print (auc1, auc2, auc3)

pyplot.plot(tpr, fpr, label="vs all")
pyplot.plot(tpr2, fpr2, label="vs c")
pyplot.plot(tpr3, fpr3, label="vs l")
pyplot.xlabel("sig. efficiency")
pyplot.ylabel("bkg. mistag rate")
pyplot.ylim(0.000001, 1)
pyplot.grid(True)
pyplot.legend(loc='lower right')
```



Notebook #2: [plot_seedingTrackFeatures.ipynb](#)

It is very similar to previous example, but looks into the other ntuples in the file, which we did not consider before

```
print(f["arr_0"].shape)
print(f["arr_1"].shape)
print(f["arr_2"].shape)
print(f["arr_3"].shape)
print(f["arr_4"].shape)
print(f["arr_5"].shape)
```

gives

```
(20000, 52)
(20000, 10, 21)
(20000, 25, 6)
(20000, 25, 16)
(20000, 4, 12)
(20000, 720, 10)
```

We already saw the first one, with 52 "features". The others have

10x21 -> (max) 10 tracks with impact parameter > 1 sigma : 21 variabili per track associated to the jet

16x25 -> (max) 16 charged particles : 25 variables each

6x25 -> (max) 6 neutral particles : 25 variables each

4x12 -> (max)4 secondary vertices associated to the jet : 12 variables each

720x10 -> low level information used in input to the DNN - foe arch of the (max 10) tracks with high impact parameter, consider the 20 closest tracks **FIX**.

You can go on studying these features.

Notebook #3: [keras_DNN.ipynb](#)

This notebook trains a Dense network using the input features described in the previous sections.

First of all, which features to use in the network are selected

```
dataALL=f["arr_0"]
jetInfo=dataALL[:,[0,1,2,3,4,5,7,8,9,10,11,12,13,14,15]]
```

with the definitions explained in the next notebooks (for example, "1" is the eta of the jet).

With these, a dense network is created:

```
inputLayer = Input(shape=(15,))
x = BatchNormalization()(inputLayer)
####
x = Dense(30, activation='relu')(x)
x = Dropout(rate=dropoutRate)(x)
x = Dense(30, activation='relu')(x)
x = Dropout(rate=dropoutRate)(x)
####
x = Dense(20, activation='relu')(x)
x = Dropout(rate=dropoutRate)(x)
####
x = Dense(10, activation='relu')(x)
x = Dropout(rate=dropoutRate)(x)
####
outputLayer = Dense(6, activation='softmax')(x)
####
model = Model(inputs=inputLayer, outputs=outputLayer)
```

where 15 is the number of input features (please note that in the list above "6" is missing!), followed by

- a normalization layer (to have uniform ranged numbners)
- Dense and Dropout layers interleaved.

Dropout layers are used in general to avoid overtraining, by dropping different nodes at each training cycle.

After compilation, the Deep Neural Network geometry is

Model: "model_1"

Layer (type)	Output Shape	Param #
=====		
input_1 (InputLayer)	(None, 15)	0

batch_normalization_1 (Batch Normalization)	(None, 15)	60

dense_1 (Dense)	(None, 30)	480

dropout_1 (Dropout)	(None, 30)	0

dense_2 (Dense)	(None, 30)	930

dropout_2 (Dropout)	(None, 30)	0

dense_3 (Dense)	(None, 20)	620

dropout_3 (Dropout)	(None, 20)	0

dense_4 (Dense)	(None, 10)	210

dropout_4 (Dropout)	(None, 10)	0

dense_5 (Dense)	(None, 6)	66
=====		
Total params: 2,366		
Trainable params: 2,336		
Non-trainable params: 30		

Dropout layers have no parameters to train; dense layers have a number of weights scaling as [Number of nodes in the previous layer +1 (the bias)]* [Number of nodes in this layer].

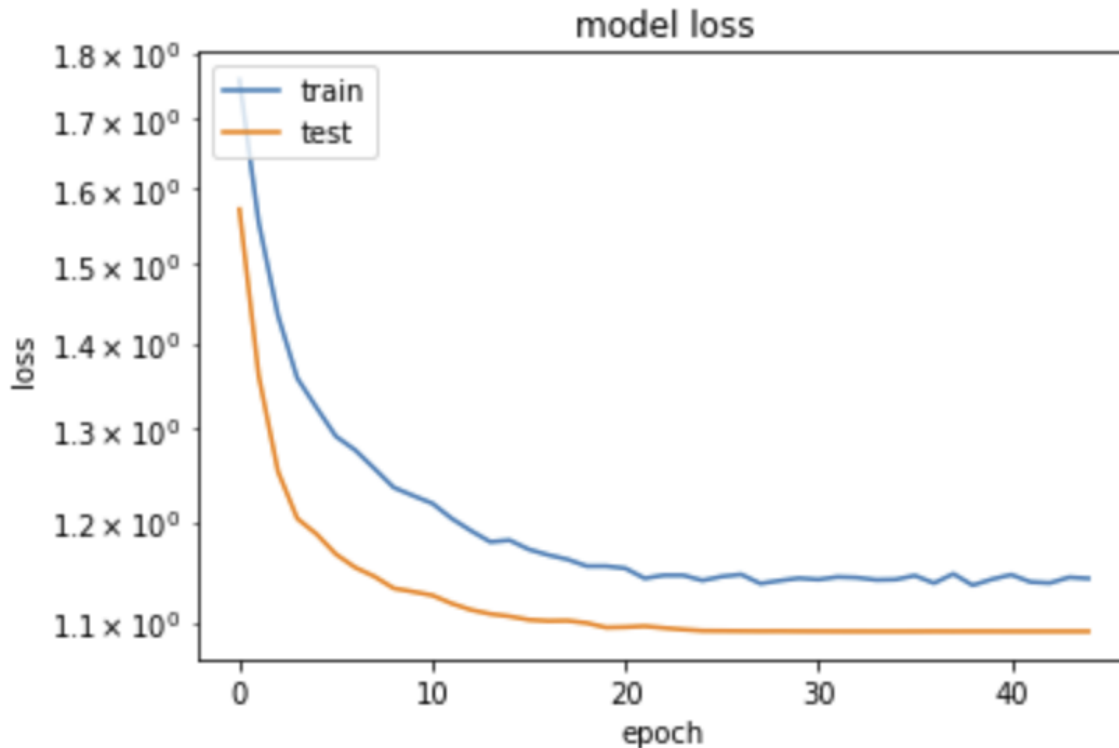
For example, a Dense layer with 30 nodes reading from a 30 nodes layer, will have $(30+1)*30 = 930$ parameters.

Training the model with

```
history = model.fit(jetInfo, jetCategories, epochs=n_epochs, batch_size=batch_size, verbose = 2,
                    validation_split=0.3,
                    callbacks = [
                        EarlyStopping(monitor='val_loss', patience=10, verbose=1),
                        ReduceLROnPlateau(monitor='val_loss', factor=0.1, patience=2, verbose=1),
                        TerminateOnNaN())])
```

An useful view is the plot of the loss (on train and test samples) along the iterations.

```
from matplotlib import pyplot as plt
plt.plot(history.history['loss'])
plt.plot(history.history['val_loss'])
plt.yscale('log')
plt.title('model loss')
plt.ylabel('loss')
plt.xlabel('epoch')
plt.legend(['train', 'test'], loc='upper left')
plt.show()
```



This is somehow counterintuitive with what we are usually taught: "loss will be lower on train than on validation samples, since the network tends to learn the specific quirks of a sample – this is usually called overtraining". Here we see the opposite, how can it be?

The solution comes from the fact that indeed, precisely in order to avoid overtraining, we have inserted in the network above Dropout layers. Their function is to randomly delete a fraction of the nodes and the connections, different in each training era, in order to "disturb" the convergence on the training sample. When processing on the validation sample, these nodes are not removed, and the performance on validation can indeed be better than on the (damaged on purpose) training sample.

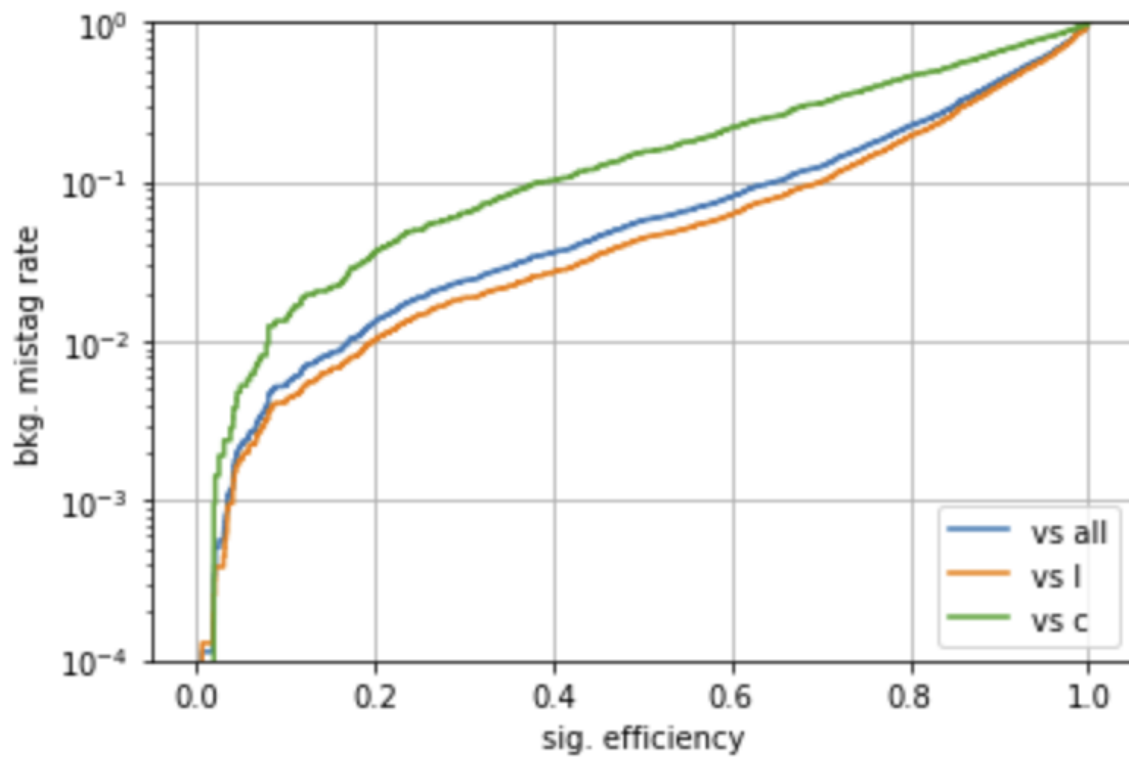
We can use the second file as a validation set, to plot, for example, ROC curves. One builds a similar shaped set out of that

```
test=numpy.load("test93_20000_40000.npz")
testALL=test["arr_0"]
jetInfoTEST=testALL[:,[0,1,2,3,4,5,7,8,9,10,11,12,13,14,15]]
jetCategoriesTEST=testALL[:,9:-3]
```

And uses the model to get a prediction

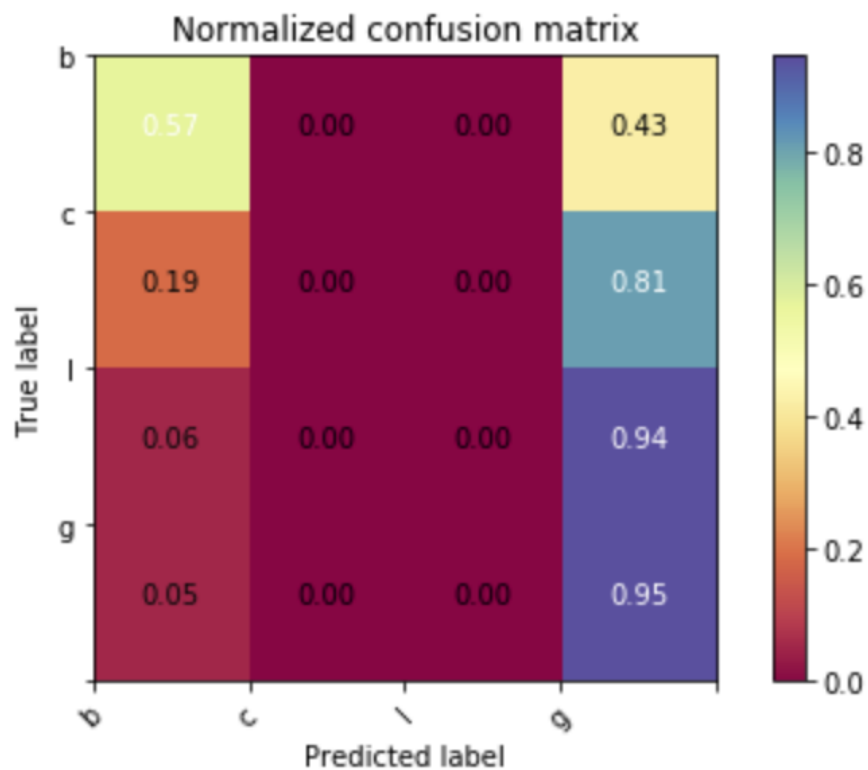
```
predict_test = model.predict(jetInfoTEST)
```

Check the notebook for the actual python, code, which results in a ROC like



The confusion matrix defines how good is the match between predicted and true categories.

Since in the output we have less categories than in the input (output is either b or not b), it is not strictly diagonal, and upon normalization shows



Without cuts, a "b" is recognized 57% of the time as a "b" and 43% as a light. Clearly, this is low figure but depends on the cut on the output.

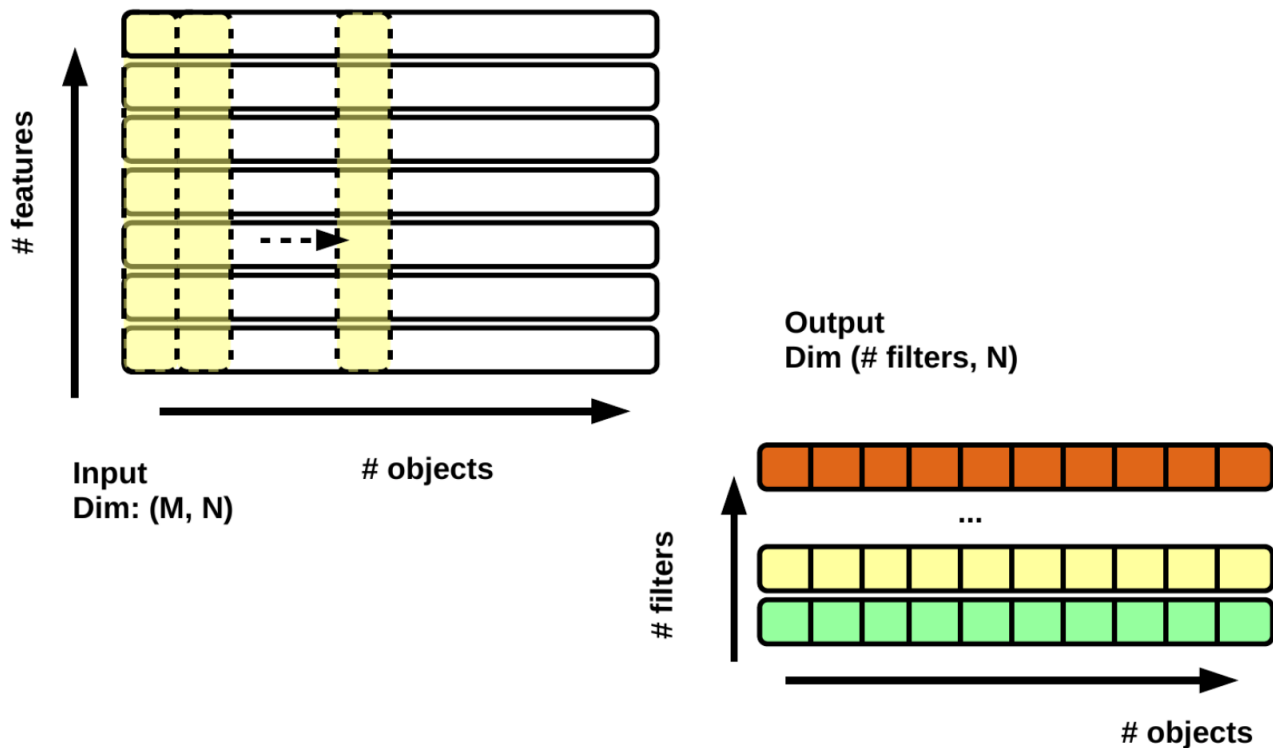
Notebook #4: [CNN1x1_btag.ipynb](#)

The fourth notebook builds a convolutional NN using the same input features, PLUS the single tracks features in arr_1

```
dataJET=f["arr_0"]
jetInfo=dataJET[:,[0,1,2,3,4,5,7,8,9,10,11,12,13,14,15]]
jetCategories=dataJET[:,9:-3]
# Select additional variables with more tracks from the 2nd ntuple
dataTRACKS=f["arr_1"]
# Check shape
print(jetInfo.shape, jetCategories.shape, dataTRACKS.shape)

(20000, 15) (20000, 6) (20000, 10, 21)
```

We start adding 1x1 convolution



You need to load from tensorflow the needed packages

```
# keras imports
from keras.models import Model
from keras.layers import Dense, Input, Conv2D, Dropout, Flatten, Concatenate, Reshape, BatchNormalization
from keras.layers import Conv1D
```

And then we can define the network


```

Inputs=[Input(shape=(15,)) ]
Inputs+=Input(shape=(10,21))
tracks=Inputs[1]
tracks = Conv1D(64, 1, kernel_initializer='lecun_uniform', activation='relu')(tracks)
tracks = Dropout(rate=dropoutRate)(tracks)
tracks = Conv1D(32, 1, kernel_initializer='lecun_uniform', activation='relu')(tracks)
tracks = Dropout(rate=dropoutRate)(tracks)
tracks = Conv1D(32, 1, kernel_initializer='lecun_uniform', activation='relu')(tracks)
tracks = Dropout(rate=dropoutRate)(tracks)
tracks = Conv1D(8, 1, kernel_initializer='lecun_uniform', activation='relu')(tracks)

tracks = Flatten()(tracks)

x = Concatenate()( [Inputs[0] , tracks] )

x= Dense(200, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)

predictions = Dense(6, activation='softmax',kernel_initializer='lecun_uniform')(x)
model = Model(inputs=Inputs, outputs=predictions)

model.compile(loss='categorical_crossentropy', optimizer='adam')
model.summary()

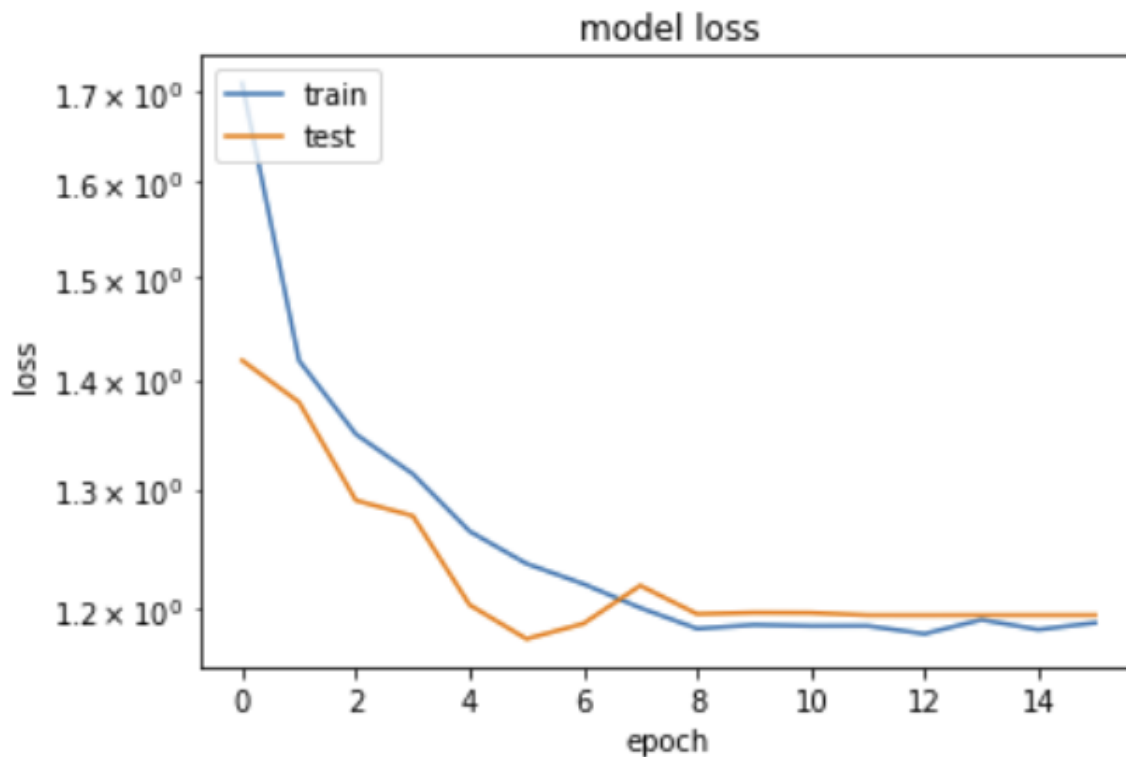
```

Model: "model_1"

Layer (type)	Output Shape	Param #	Connected to
input_2 (InputLayer)	(None, 10, 21)	0	
conv1d_1 (Conv1D)	(None, 10, 64)	1408	input_2[0][0]
dropout_1 (Dropout)	(None, 10, 64)	0	conv1d_1[0][0]
conv1d_2 (Conv1D)	(None, 10, 32)	2080	dropout_1[0][0]
dropout_2 (Dropout)	(None, 10, 32)	0	conv1d_2[0][0]
conv1d_3 (Conv1D)	(None, 10, 32)	1056	dropout_2[0][0]
dropout_3 (Dropout)	(None, 10, 32)	0	conv1d_3[0][0]
conv1d_4 (Conv1D)	(None, 10, 8)	264	dropout_3[0][0]
input_1 (InputLayer)	(None, 15)	0	
flatten_1 (Flatten)	(None, 80)	0	conv1d_4[0][0]
concatenate_1 (Concatenate)	(None, 95)	0	input_1[0][0] flatten_1[0][0]
dense_1 (Dense)	(None, 200)	19200	concatenate_1[0][0]
dropout_4 (Dropout)	(None, 200)	0	dense_1[0][0]
dense_2 (Dense)	(None, 100)	20100	dropout_4[0][0]
dropout_5 (Dropout)	(None, 100)	0	dense_2[0][0]
dense_3 (Dense)	(None, 100)	10100	dropout_5[0][0]
dropout_6 (Dropout)	(None, 100)	0	dense_3[0][0]
dense_4 (Dense)	(None, 100)	10100	dropout_6[0][0]
dropout_7 (Dropout)	(None, 100)	0	dense_4[0][0]
dense_5 (Dense)	(None, 100)	10100	dropout_7[0][0]
dropout_8 (Dropout)	(None, 100)	0	dense_5[0][0]
dense_6 (Dense)	(None, 100)	10100	dropout_8[0][0]
dropout_9 (Dropout)	(None, 100)	0	dense_6[0][0]
dense_7 (Dense)	(None, 6)	606	dropout_9[0][0]
Total params: 85,114			
Trainable params: 85,114			
Non-trainable params: 0			

The number of free parameters is much larger than before (85k vs 2k), due to the fact that we input larger dimensionality inputs (matrices instead of vectors).

```
# train
history = model.fit([jetInfo, dataTRACKS], jetCategories, epochs=n_epochs, batch_size=batch_size, verbose = 2,
                    validation_split=0.3,
                    callbacks = [
                        EarlyStopping(monitor='val_loss', patience=10, verbose=1),
                        ReduceLROnPlateau(monitor='val_loss', factor=0.1, patience=2, verbose=1),
                        TerminateOnNaN())
# plot training history
from matplotlib import pyplot as plt
plt.plot(history.history['loss'])
plt.plot(history.history['val_loss'])
plt.yscale('log')
plt.title('model loss')
plt.ylabel('loss')
plt.xlabel('epoch')
plt.legend(['train', 'test'], loc='upper left')
plt.show()
```



Using the test samples from the second file, one can produce the ROC curves and the confusion matrices as in the previous example.

Notebook #5: [lstm_btag.ipynb](#)

The fifth notebook is very similar to the fourth, but uses a Long Short-Term Memory to input sequentially data instead of having a full matrix. The inputs are the same

```
# keras imports
from keras.models import Model
from keras.layers import Dense, Input, Conv2D, Dropout, Flatten, Concatenate, Reshape, BatchNormalization
from keras.layers import LSTM
```

```
Inputs=[Input(shape=(15,)) ]
Inputs+= [Input(shape=(10,21))]
```

```
tracks=Inputs[1]
tracks = LSTM(64)(tracks)
```

```
x = Concatenate()( [Inputs[0] , tracks] )
x= Dense(200, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x= Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
x = Dense(100, activation='relu',kernel_initializer='lecun_uniform')(x)
x = Dropout(rate=dropoutRate)(x)
```

```
predictions = Dense(6, activation='softmax',kernel_initializer='lecun_uniform')(x)
model = Model(inputs=Inputs, outputs=predictions)
```

In this way, "tracks" are processed one at a time. Note that an LSTM is a complex node, with many weights, hence the total number of free parameters does not necessarily decrease with respect to easier network topologies

```
model.compile(loss='categorical_crossentropy', optimizer='adam')
model.summary()
```

Model: "model_1"

Layer (type)	Output Shape	Param #	Connected to
input_2 (InputLayer)	(None, 10, 21)	0	
input_1 (InputLayer)	(None, 15)	0	
lstm_1 (LSTM)	(None, 64)	22016	input_2[0][0]
concatenate_1 (Concatenate)	(None, 79)	0	input_1[0][0] lstm_1[0][0]
dense_1 (Dense)	(None, 200)	16000	concatenate_1[0][0]
dropout_1 (Dropout)	(None, 200)	0	dense_1[0][0]
dense_2 (Dense)	(None, 100)	20100	dropout_1[0][0]
dropout_2 (Dropout)	(None, 100)	0	dense_2[0][0]
dense_3 (Dense)	(None, 100)	10100	dropout_2[0][0]
dropout_3 (Dropout)	(None, 100)	0	dense_3[0][0]
dense_4 (Dense)	(None, 100)	10100	dropout_3[0][0]
dropout_4 (Dropout)	(None, 100)	0	dense_4[0][0]
dense_5 (Dense)	(None, 100)	10100	dropout_4[0][0]
dropout_5 (Dropout)	(None, 100)	0	dense_5[0][0]
dense_6 (Dense)	(None, 100)	10100	dropout_5[0][0]
dropout_6 (Dropout)	(None, 100)	0	dense_6[0][0]
dense_7 (Dense)	(None, 6)	606	dropout_6[0][0]

```
Total params: 99,122
Trainable params: 99,122
Non-trainable params: 0
```

From this point on, the treatment of the test samples, ROC, and confusion matrices are the same as in the previous example.

References

- Slides as presented at [Scientific Data Analysis School](#) at Scuola Normale, November 2019: [here](#).
- CMS tagging results: [here](#).

Attachments



drive-download-...121930Z-001.zip



Machine_Learnin...ging_in_CMS.pdf



btagging_cms.tar.gz